

# Role of conditional entropy in experiments of Landauer principle

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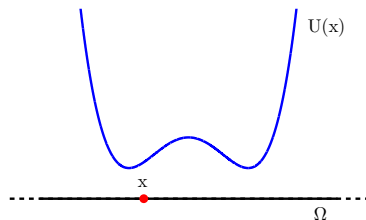
**NiPS** Laboratory  
Noise in Physical Systems



- 1 Introduction
- 2 Conditional Entropy
- 3 Gaussian Example

# Bit Coding

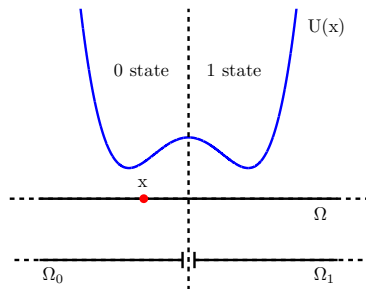
## General Framework for 1-Dim Systems



- 1-Dimensional system
- Each  $x$  value is a microstate
- $\Omega$  is the set of all possible microstates
- $U(x)$  bistable and symmetric potential

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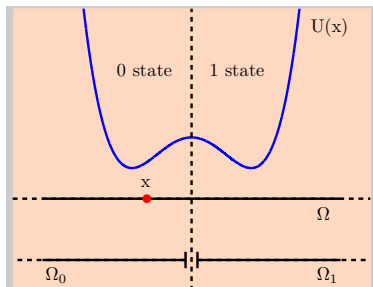
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- Heat bath a temperature  $T$

$x$  fluctuates  $\Rightarrow$  We describe its stochastic properties through a Probability Density Function  $P(x)$ .

We then define the probabilities of being in the 0-1 logic states

$$P_0 = \int_{\Omega_0} P(x) dx, \quad P_1 = \int_{\Omega_1} P(x) dx$$

# Entropies and Landauer Principle

Gibbs thermodynamical entropy

$$S_G = -K_B \int_{\Omega} P(x) \log P(x) dx$$

Shannon information entropy

$$S_S = -K_B \sum_{i=0,1} P_i \log P_i$$

## Landauer Principle

Computation is a physical transformation that changes  $S_G$  and  $S_S$ . Heat production for this transformation obeys Clausius theorem

$$Q \geq -T\Delta S$$

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## Landauer Principle

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$$\begin{aligned} Q &\geq -T \Delta S_G \\ \Delta S_G &= \Delta S_S + \Delta S_{cond} \end{aligned} \tag{1}$$

Warning1: Up to now  $\Delta S_{cond} = 0$  was used with no clear justification.

Warning2: There is no simple representation of  $S_{cond}$  and  $\Delta S_{cond}$ .

(1) T. Sagawa *J. Stat. Mech.* (2014) P03025

# The sample structure of $P(x)$

For a system with bistable  $U(x)$ , it is reasonable that, in experiments, non-equilibrium  $P(x)$  are functions with two peaks. We write

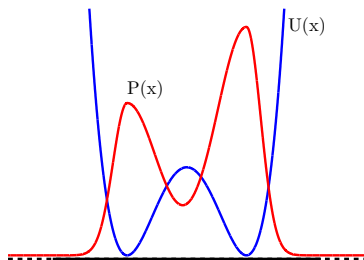
$$P(x) = P_a \eta_a(x) + P_b \eta_b(x)$$

$\eta_a, \eta_b$  properties:

- peak functions.
- they are non-negative with supports  $\Omega_a, \Omega_b$  respectively.
- $\int_{\Omega_a} \eta_a dx = \int_{\Omega_b} \eta_b dx = 1$

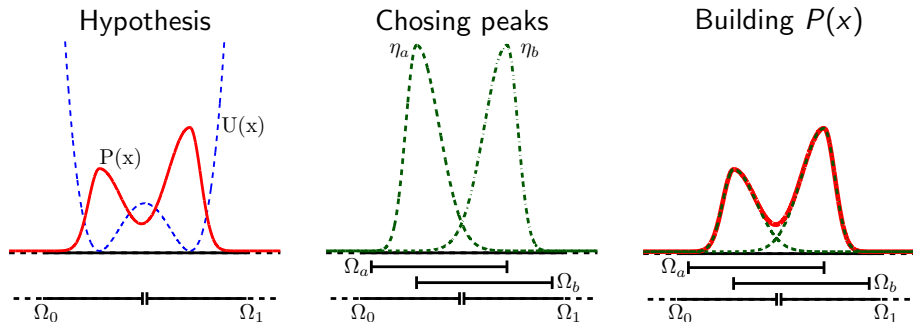
Thanks to this last one

- $P_a + P_b = 1$
- $P_a$  and  $P_b$  are the probability that a microstate  $x$  belongs to  $\eta_a$  or  $\eta_b$





# The sample structure of $P(x)$ - [2]



Note that:

- Each peak has its own shape;
- Peaks significantly overlap near the boundary between  $\Omega_0$ ,  $\Omega_1$ ;
- $\Omega_a$  and  $\Omega_b$  may not coincide with  $\Omega_0$  and  $\Omega_1 \Rightarrow (P_a, P_b)$  is not  $(P_0, P_1)$ .

$$P_0 = P_a \int_{\Omega_a \cap \Omega_0} \eta_a dx + P_b \int_{\Omega_b \cap \Omega_0} \eta_b dx$$

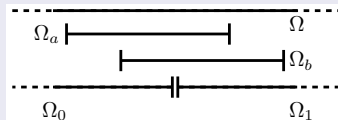
$$P_1 = P_a \int_{\Omega_a \cap \Omega_1} \eta_a dx + P_b \int_{\Omega_b \cap \Omega_1} \eta_b dx$$

# A simple formula for $S_{cond}$

$$S_{cond} = S_G - S_S = S_{ex} + S_{sh} + S_{ov}$$

$$S_{ex} = -K_B P_a \log P_a - K_B P_b \log P_b + K_B P_0 \log P_0 + K_B P_1 \log P_1$$

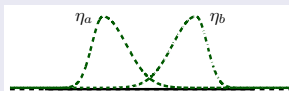
$S_{ex}$  is the entropic measure of the error committed by exchanging  $(P_a, P_b)$  with  $(P_0, P_1)$ .



$$S_{sh} = P_a S_a + P_b S_b$$

$$S_a = -K_B \int_{\Omega_a} \eta_a \log \eta_a dx$$

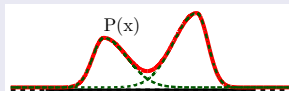
$S_{sh}$  gives the entropic measure of  $\eta_a$  and  $\eta_b$  shapes



$$S_{ov} = P_a I(\eta_a, \eta_b, \frac{P_b}{P_a}) + P_b I(\eta_b, \eta_a, \frac{P_a}{P_b})$$

$$\frac{I(\eta_a, \eta_b, q)}{K_B} = - \int_{\Omega_a \cap \Omega_b} \eta_a \log \left( 1 + q \frac{\eta_b}{\eta_a} \right) dx$$

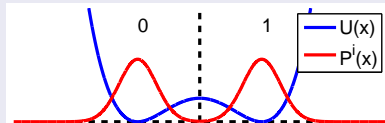
$S_{ov}$  is the entropic measure of  $\eta_a$  and  $\eta_b$  overlap in  $P(x)$



# Gaussian Example

We reset a bit of information

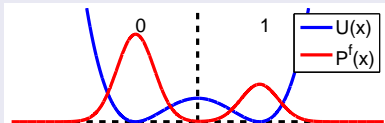
Initial state



$$P^i(x) = \frac{1}{2} \frac{e^{-\frac{(x+h)^2}{2}}}{\sqrt{2\pi}} + \frac{1}{2} \frac{e^{-\frac{(x-h)^2}{2}}}{\sqrt{2\pi}}$$

$$P_0^i = P_1^i = \frac{1}{2}$$

Final state

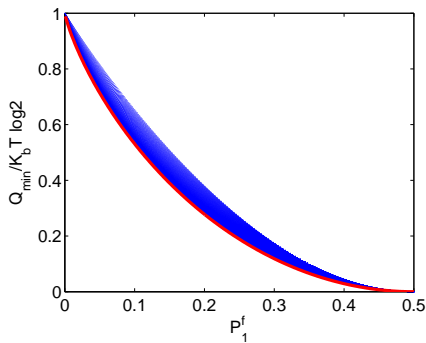
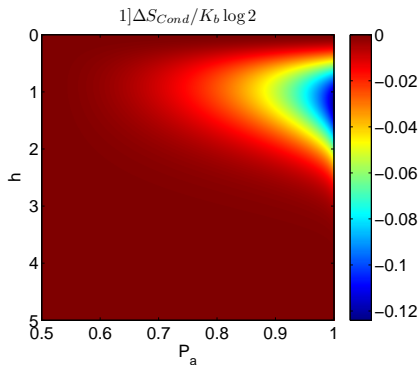


$$P^f(x) = P_a \frac{e^{-\frac{(x+h)^2}{2}}}{\sqrt{2\pi}} + (1 - P_a) \frac{e^{-\frac{(x-h)^2}{2}}}{\sqrt{2\pi}}$$

$$P_0^f = \frac{1 + (2P_a - 1) \operatorname{erf}\left(\frac{h}{\sqrt{\pi}}\right)}{2}$$

Free parameters:  $P_a$  and  $h$  ( $h \approx \sqrt{\frac{\Delta U}{K_B T}}$ )

# Some results



- $\Delta S_{cond} \neq 0$
- for  $h \approx 1$   $\left[ \frac{\Delta U}{K_B T} \approx 1 \right]$ ,  $\Delta S_{cond}$  can become up to 25% of  $\Delta S_G$ .
- $\Delta S_S$  is insufficient to characterize minimum heat production

# Conclusions

- Brief introduction to  $\Delta S_{cond}$ , writing it in a simple and intuitive way for bistable systems.
- Discussed the implications of  $\Delta S_{cond}$  to minimum heat production with a simple example based on gaussian peaks.

## Further readings

- D. Chiuchiú, M. C. Diamantini, L. Gammaitoni. *Role of conditional entropy in experimental tests of Landauer Principle.*, arXiv:1406.2562
- T. Sagawa *Thermodynamic and Logical Reversibilities Revisited* J. Stat. Mech. (2014) P03025